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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**ATTORNEY DOCKET NO. 049411-0286**

Applicant: Hongxing TANG et al.

Title: METALLIC THIN FILM PIEZORESISTIVE TRANSDUCTION  
IN MICROMECHANICAL AND NANOMECHANICAL  
DEVICES AND ITS APPLICATION IN SELF-SENSING SPM  
PROBES

Appl. No.: Unassigned

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**UTILITY PATENT APPLICATION**  
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Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the non-provisional utility patent application of:

Hongxing TANG  
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Applicants Claim Small Entity Status Under 37 CFR 1.27.

Enclosed are:

Application Data Sheet (37 CFR 1.76) (3 pages).

Specification, Claim(s), and Abstract (28 pages).

Formal Drawings (16 Sheets, Figures 1a-15).

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Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

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# **METALLIC THIN FILM PIEZORESISTIVE TRANSDUCTION IN MICROMECHANICAL AND NANOMECHANICAL DEVICES AND ITS APPLICATION IN SELF-SENSING SPM PROBES**

## **CROSS-REFERENCE TO RELATED APPLICATIONS**

**[0001]** This application is a continuation-in-part of U.S. Application Serial No. 10/826,007 filed April 16, 2004, which claims benefit of priority of U.S. Provisional Application Ser. No. 60/468,452, filed May 7, 2003. This application also claims benefit of priority of U.S. Provisional Application Serial No. 60/562,652, filed April 15, 2004. All of the above mentioned applications are incorporated herein by reference in their entirety.

## **BACKGROUND OF THE INVENTION**

### *1. Field of the Invention*

**[0002]** This invention is related to piezoresistive sensors for micro-electro-mechanical systems (MEMS) and nano-electro-mechanical systems (NEMS).

### *2. Description of the Prior Art*

**[0003]** Piezoresitive displacement detection techniques are attractive in both microelectromechanical and nanoelectromechanical systems (MEMS and NEMS), because they can be fully integrated and are easy to use. Applications include scanning probe microscopy, force and pressure sensors, flow sensors, chemical and biological sensors, and inertial sensors such as accelerometers and motion transducers. Most of these applications use p-type doped silicon layer as the sensing element. Doped silicon has a fairly high gauge factor (20~100), but also high sheet resistance (10kOhm/square) and therefore a relatively large thermal noise floor. Much higher 1/f noise is also

expected in doped silicon due to its low carrier density. Additionally, fabrication processes for semiconducting piezoresistors, such as ion implantation or molecular beam epitaxy, are complicated and expensive. Finally, semiconducting materials are also vulnerable to processing damage. Therefore, they are not suitable for some uses at nanoscale dimensions.

[0004] There is an unmet need for a piezoresistive sensing element for microelectromechanical and nanoelectromechanical systems that is more sensitive and easier and cheaper to fabricate.

## **BRIEF SUMMARY OF THE INVENTION**

[0005] An embodiment of the invention provides a micro- or nano-mechanical device comprising a movable element and a thin metal film used for piezoresistive sensing of a movement of the movable element.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

[0006] Figures 1a and 1b are SEM images of exemplary devices according to embodiments of the invention.

[0007] Figures 2 and 3 are resonance response curves for the device of Figure 1a.

[0008] Figure 4 is a thermomechanical noise spectrum density of the device shown in Figure 1b. First two modes are shown. Data is fit to Lorentz function.

[0009] Figure 5a is a three dimensional schematic view of a device according to embodiments of the invention.

**[0010]** Figure 5b is an SEM image of an AFM probe according to embodiments of the invention.

**[0011]** Figures 7, 14 and 15 are schematics of testing set ups used to test the devices of the examples of the present invention.

**[0012]** Figures 6 and 8 are plots of piezoresistive response of devices of embodiments of the invention.

**[0013]** Figure 9 is a plot of a noise spectrum from a metallic thin film piezoresistor.

**[0014]** Figure 10 is a plot of force indentation of piezoresistive probes.

**[0015]** Figure 11a is a 3D topographical image obtained from direct tapping mode AFM. Figure 11b is a 3D topographical image obtained from lock-in measurement of the metallic thin film piezoresistor.

**[0016]** Figures 12a-h are side cross sectional views of the steps in the fabrication process flow for self-sensing non-contact/tapping mode piezoresistive SPM probes.

**[0017]** Figures 13a-h are side cross sectional views of the steps in the fabrication process flow for self-sensing contact mode piezoresistive SPM probes.

#### **DETAILED DESCRIPTION OF THE INVENTION**

**[0018]** Embodiments of the present invention are directed to metal films used as a piezoresistive self-sensing element in micromechanical and nanomechanical systems. These systems preferably comprise microelectromechanical and nanoelectromechanical systems.

**[0019]** Microelectromechanical and nanoelectromechanical systems include devices with features having a size of 1 micron to 100 microns and 1 nanometer to less than 1

micron, respectively, in at least one dimension, and preferably in two or three dimensions. Preferably, these features comprise movable features or elements, such as cantilevers, diaphragms, clamped beams, wires, etc. Microelectromechanical and nanoelectromechanical systems include, but are not limited to, scanning probe microscopes (“SPM”), such as atomic force microscopes (“AFM”), force and pressure sensors, flow sensors, chemical and biological sensors, and inertial sensors, such as accelerometers and motion transducers. For example, chemical and biological sensors may comprise one or more cantilevers having a surface coated with a material which selectively binds to a chemical or biological analyte (i.e., gas or liquid analyte containing or consisting of the chemical or biological species of interest).

**[0020]** The term “film” includes relatively thin metal films, having a thickness of about 100 nm to about 10 microns, thin metal films, having a thickness of about 10 nm to about 100 nm, and ultra thin metal films, such as discontinuous or island type metal films having a thickness of less than about 10 nm, as will be discussed in more detail below. The term “metal” includes pure or essentially pure metals and metal alloys.

**[0021]** The term “self-sensing” means that the metal film is used as the piezoresistive element without requiring an external motion sensing device, such as a laser which is used to irradiate a cantilever or diaphragm with radiation and a photodetector which is used to detect the reflected radiation to determine the deflection of the cantilever or diaphragm. However, if desired, an external motion sensing device may be used in combination with the self-sensing metal film. Furthermore, the metal film is preferably used with a current source which provides a current across the metal film, and detector which detects the voltage across the metal film to determine the amount (i.e., amplitude,

frequency, direction, and/or any other suitable property) of movement of the metal film and movable element.

[0022] Strain gauge factor (“gauge factor”) is often used to express the electrical resistance and strain relationship of a piezoresistive material, which is defined as  $dR / R d\epsilon = (1 + 2\nu) + d\rho / \rho d\epsilon$ , where  $\nu$  is Poisson ratio,  $\rho$  is resistivity,  $\epsilon$  is the strain and R is the resistance. The first term is merely from geometry deformation, and the second term is the physical change of the resistivity due to the change of strain, mainly from strain mediated mean free path in material.

[0023] Even though thin metal films have a much lower gauge factor (1~4) than semiconductor piezoresistors, they have much smaller resistance and can bear much higher current density, and therefore yield comparable signal strength. The lower resistance produces less thermal noise. Since metal films have several orders of magnitude higher carrier density than semiconductor piezoresistors, their 1/f noise will be significantly lower. A much lower noise floor makes it possible to obtain similar resolution to silicon devices.

[0024] In comparison to semiconducting piezoresistors, metallic thin film piezoresistors can be fabricated at significantly reduced cost. Metal films of thickness from 10 nm to 10 microns can be simply evaporated or sputtered onto almost any substrate, such as Si, SiC, SiN, SiO<sub>2</sub>, glass and even plastic materials. Processing damages to metal films are minimal. Metal films can be patterned well down to nanoscale dimensions, and batch fabricated onto devices in large arrays.

**[0025]** In a preferred embodiment of the invention, a gold thin film is used for piezoresitive sensing. Those skilled in the art should obtain similar results with other pure or essentially metals including, but not limited to, Ag, Ni, Pt, Al, Cr, Pd, W, and metal alloys such as Constantan, Karma, Isoelastic, Nichrome V, Pt-W, Pt-Cr, etc.

**[0026]** In another preferred embodiment, the metal film is used to coat a movable element, such as a micron (i.e., 1 to 100 microns) or nanometer (i.e., less than 1 micron) size element. The movable element may be any element in a MEMS or NEMS which moves. Preferably, the movable element comprises a flexible, resilient element (i.e., a “flexure”), such as a resonator.

**[0027]** For example, the resonator preferably comprises a micron or nanometer sized cantilever. However, it should be understood that the invention can be used with other resonators, including, but not limited to, doubly clamped beams, torsional resonators, and diaphragm resonators. Non-limiting examples of doubly clamped beam resonators, torsional resonators and diaphragm resonators are disclosed in U.S. Patent Application Number 10/826,007, U.S. Patent No. 6,593,731 and PCT Application PCT/US03/14566 (published as WO/2004/041998) and its counterpart U.S. Patent Application Number 10/502,641, all incorporated herein by reference in their entirety. For example, a doubly clamped beam resonator comprises a beam that is fixed on both ends, but whose middle portion is free hanging so that it can flex or move perpendicular to its length. A torsional resonator may comprise, in a non-limiting example, a flexible diamond or polygonal shaped structure mounted at two anchor points and which can move by twisting or turning about an axis between the anchor points, as described and illustrated in U.S. Patent No. 6,593,731. A diaphragm resonator may comprise any plate shaped resonator

which is anchored at one or more edges and whose middle portion is free hanging so that it can move or flex in one or more directions. An example of a diaphragm resonator is a trampoline resonator.

**[0028]** In another preferred embodiment of the invention, the use of thin metallic films as sensors in AFM probes is demonstrated. Processes for fabricating both self-sensing, non-contact / tapping mode piezoresistive SPM probes and sensing contact mode piezoresistive SPM probes are provided. As noted above, the metal film piezoresistive sensing elements can also be used in other MEMS or NEMS devices, such as force and pressure sensors, flow sensors, chemical and biological sensors, and inertial sensors such as accelerometers and motion transducers. The exemplary devices have an estimated displacement sensitivities of at least  $1.6 \times 10^{-6} / \text{nm}$  and force resolution of at least  $3.8 \text{ fN} / \sqrt{\text{Hz}}$ , which is comparable with its doped silicon counterparts, and a noise level below  $1 \text{ nV} / \sqrt{\text{Hz}}$ .

**[0029]** Finally, a highly sensitive electronic down mixing readout scheme is employed to extract the piezoresistive response from the thin metal films. In a preferred embodiment, this detection scheme and suitable circuit(s) are used for metallic self-sensing piezoresistive probes for contact mode and non-contact mode AFM operations.

#### *Thin Metallic Films*

**[0030]** Thin metal films have a rather low gauge factor (2~4). Because they have much smaller resistance and can bear much higher current density than semiconductor piezoresistors, they can yield comparable signal strength to semiconductor piezoresistors. The lower resistance produces less thermal noise. Since metal films have several orders

of magnitude higher carrier density than semiconductor piezoresistors, their 1/f noise will be significantly lower. With devices working at resonant frequency and doing ac measurements, thin metal films can be highly sensitive.

**[0031]** Metallic thin film piezoresistors can be fabricated at significantly reduced cost. Metal films can be simply evaporated or sputtered onto to almost any substrate. Processing damages to metal films are minimal. Metal films can be patterned well down to nanoscale dimensions, and batch fabricated onto devices in large arrays.

**[0032]** In the illustrated embodiment, thin films of gold are used for piezoresistive sensing. For bulk gold,  $\nu$  is 0.42, and the typical gauge factor is 1~4. Thin gold films can be divided into three different regions according to the film thickness. Films with thickness above 100 nm are more bulk like. Films with thickness between 10 nm and 100 nm have a continuous film regime. For a thickness below 10 nm, the film is often discontinuous. Discontinuous film has a much larger strain gauge factor, because of the metal island gap. In the illustrated embodiment, a 30 nm-50 nm thick gold film, which falls into the continuous thin film region, is used as a piezoresistive layer. However, it should be understood that the dimensions of the metallic thin films can vary considerably. For example, based on measurement results, all continuous thin gold films, from 30 nm gold films up to 10 micron film, the piezoresistive response is on the same order of magnitude. The metal film may have any suitable width and length. For example, the metal film may be a narrow wire (such as a wire having a cross sectional area of about 100 nm<sup>2</sup> or less) or it may cover all or a portion of a surface of a movable element of the device, and have a width of about 100 nm up to a 10 microns, such as 200 nm to 2 microns.

**[0033]** In addition to gold, a broad group of pure or essentially pure metals, including but not limited to, nickel, platinum, palladium, tungsten, aluminum etc., can also be used for piezoresistive sensing. Metal alloys, including but not limited to, Constantan, Karma, Isoelastic, Nichrome V, Pt-W, and Pd-Cr, can also be used for piezoresistive sensing. The table below lists some of the exemplary metals and the gauge factor for some of these metals.

Material	Composition	GF
Au	100 (i.e., essentially pure or 100% Au), continuous	2.6
Au	100, discontinuous	24-48
W	100	
Pt	100	
Al	100	
Cu	100	
Cr	100	
Ag	100, discontinuous	45
Pd	100	2.5
Ni	100	40
Constantan	45 Ni, 55 Cu	2.1
Nichrome V	80 Ni, 20 Cr	2.1
Pt-W	92 Pt, 8 W	4.0
Isoelastic	36 Ni, 8 Cr, 0.8 Mo, 55.5 Fe	3.6
Karma	74 Ni, 20 Cr, 3 Al, 3 Fe	2.0
Ni-Ag	35-50 Ni, Ag	
Pt-Cr	87 Pt, 13 Cr	
Armour D	70 Fe, 20 Cr, 10 Al	2.0

### *Resonators*

**[0034]** As discussed above, the preferred resonator structures comprise cantilevers, such as cantilivered NEMS or MEMS structures. An SEM image of two exemplary cantilevers are shown in Figures 1a and 1b. FIG. 1a is an SEM image of a  $10 \mu\text{m}$  long,  $2 \mu\text{m}$  wide cantilever, with  $f_0 = 1.5\text{MHz}$ . Figure 1b is an SEM image of a  $33 \mu\text{m}$  long,  $4 \mu\text{m}$  wide cantilever, with  $f_0 = 52\text{KHz}$ . The devices have a final resistance of 150 ohm. As shown in Figures 1a and 1b, the cantilevers 1 preferably contain an opening or notch 3 near the cantilever base 5 containing the contact pads. The portions of the cantilevers 1 which surround the notch 3 are referred to as “legs” 7. The gold film 9 is preferably

formed at least in the leg 7 portions of the cantilever. If desired, the notches 3 may be omitted.

[0035] Preferably, cantilevered NEMS structures shown in Figures 1a and 1b are fabricated using a method similar to that disclosed in Y. T. Yang *et al*, Appl. Phys. Lett. 78, 162 (2001), incorporated herein by reference. An exemplary method is described below. The starting material is an 80nm thick epitaxially grown silicon carbide on a silicon wafer. The selection of silicon carbide is more of convenience than of necessity. For example, silicon and its other compounds such as silicon nitride and silicon oxide can be used instead. First, gold contact pads are defined by photolithography. Second, the strain concentration legs, such as the legs 7 shown in Figure 1a defined by metal interconnection patterns are written by electron beam lithography. A 1nm chromium adhesion layer followed by a 30nm gold layer are thermally evaporated on a photoresist pattern located on silicon carbide and then lifted-off to provide a desired pattern. Following ebeam lithography, a 50nm chromium layer is patterned as an etching mask over the entire cantilever forming area. Then the sample is etched with an electron cyclone reaction (ECR) etcher, using a mixture of 1:1 Ar:NF<sub>3</sub> gas. A 250V bias voltage is used to anisotropically etch the SiC layer. The bias voltage is then decreased to 100V, which makes the etching isotropic. A silicon layer below the device cantilever is etched, and the silicon carbide structure is released. Etching is stopped when the cantilever is barely undercut. The chromium mask is removed by wet etch to reduce the stress on the cantilever, which could cause cantilevers to curl up. A final very short ECR dry etch is used to fully release the cantilever. The sample is then glued onto a piezoelectric ceramic

(PZT) actuator, and the whole device is mounted onto a chip carrier, and electrical connections are made by wire bonding.

[0036] The sample is then loaded into a vacuum tank and measured in room temperature. The measurement system includes a half dc bridge, a battery set as dc bias source and an ac-dc bias tee. The PZT actuator is driven by the output of a network analyzer. After two stages of 67 dB gain,  $50\Omega$  input and output impedance preamplifiers, the ac part of the signal is fed back into the network analyzer.

[0037] In Figures 2 and 3, resonance signals of the device in Figure 1a are shown, at different actuation levels with a constant dc bias of 50mV. The cantilever has two  $5\mu\text{m}$  long and 500nm wide legs and a  $5\mu\text{m}$  long and  $2\mu\text{m}$  wide pad. It has fundamental resonance at 1.5MHz and second mode at 14.8MHz. Figure 2 shows the resonance curve in the fundamental mode and Figure 3 shows the resonance curve in the second mode. The insets in Figures 2 and 3 show the peak amplitude as a function of actuation level or amplitude. In the linear response region, the amplitude at resonance is proportional to the ac signal amplitude applied to a piezoelectric actuator. In fundamental mode, the cantilever has a Q factor of 1000 in vacuum. The cantilever also works in air with a lower Q of 90, as shown by the dashed lines in Figure 2. The second mode has a quality factor of about 700.

[0038] These piezoresistive cantilevers are sensitive enough to sense their own thermomechanical noise. Figure 4 displays the noise spectrum of the device shown in Figure 1b, which has 52kHz fundamental frequency and second mode at 638 KHz. From the noise spectrum density and using a calculated spring constant, the sensitivity of the cantilever can be calibrated. Apply equipartition principle to cantilever potential energy:

$K \langle z^2 \rangle = k_b T$  and define sensitivity in static situation as  $C_s = \Delta R / R \Delta z$ , where  $K$  is the spring constant,  $k_b$  is Boltzman constant,  $T$  is absolute temperature,  $\langle z^2 \rangle$  is mean square displacement fluctuation of cantilever,  $\Delta R / R$  is resistance change ratio of the piezoresistor and  $\Delta z$  is the cantilever static displacement. An estimation can be obtained by integrating the noise spectrum density curve to get  $\langle v^2 \rangle = C_s^2 \langle z^2 \rangle$ , where  $\langle v^2 \rangle$  is mean square voltage fluctuation. From the device geometry and elastic properties of SiC and gold, we calculate a spring constant of  $K \approx 0.0024 \text{ N/m}$ . Sensitivity is determined to be  $C_s = 1.6 \times 10^{-7} / \text{\AA}$ . Measurement is limited by Johnson and amplifier noise, with a noise floor of  $3 \text{ nV}/\sqrt{\text{Hz}}$  at 52KHz and  $1.4 \text{ nV}/\sqrt{\text{Hz}}$  at 638KHz. The cantilever has a force resolution of  $3.8 \text{ fN}/\sqrt{\text{Hz}}$  at 500 KHz. It is apparent that, although the sensitivity of the device is relatively low, it still has a sufficient resolution due to the low noise floor.

**[0039]** The geometry of the device shown in Figure 1b may be changed to further improve force resolution or displacement resolution. In the device of Figure 1b, the strain sensing element 9 only occupies one tenth the length of the cantilever. For a given spring constant, the force sensitivity will be proportional to  $l/t^2$ , so making the cantilever thinner and shorter will result in improved force sensitivity. It is noted that doped silicon devices also suffer from large 1/f noise. Hooge's law holds that 1/f noise is inversely proportional to the total carrier number; therefore, making a smaller cantilever will deteriorate noise performance. While in a metal film case, the carrier number ( $\sim 10^{22} / \text{cm}^3$ ) is four orders of magnitude larger than that in a typical doped semiconductor ( $\sim 10^{18} / \text{cm}^3$ ). 1/f noise is not a limiting issue.

**[0040]** In addition to cantilevers, thin metal films can also be used in other geometries. Thus, the metal film piezoresistive sensor may be used with other resonators, including, but not limited to, doubly clamped beams, torsional resonators, and diaphragm resonators.

#### *Device Application Examples*

**[0041]** Figures 5a and 5b illustrate an example of the use of the metal films in self-sensing cantilever probes for atomic force microscopes. Figure 5a is a schematic and figure 5b is an SEM image of a micromachined cantilever (i.e., probe) 11 with the following micro-scale dimensions: 150 $\mu$ m long x 30 $\mu$ m wide x 4 $\mu$ m thick. The probe 11 contains the cantilever 1, the notch 3, base 5, legs 7, metal film 9 and a sharp AFM tip 13. Preferably, but not necessarily, the metal film 9 is formed on the same side of the cantilever as the tip 13. The specific probe 11 shown in Figure 5 is designed for tapping-mode AFM. Probes for contact mode AFM can be designed as well, but with much smaller spring constants, as will be described in more detail below.

**[0042]** Figures 12 and 13 illustrate the fabrication processes for both non-contact mode probes 11 and contact mode probes 21, respectively. As shown in Figures 12a-h, a method for making non-contact / tapping mode piezoresistive SPM probes 11 with thin metal films 9 comprises the following steps.

**[0043]** First, as shown in Figure 12a, a starting substrate 101 is provided. The substrate may be, for example, any suitable SOI (silicon on insulator) substrate, such as a SIMOX (i.e., oxygen implanted) or UNIBOND (i.e., bonded) SOI substrate. The substrate may be 400 to 900 microns, such as 550 microns thick, with a 0.5 to 5 micron thick oxide layer 103 between two silicon portions 105, 107.

**[0044]** Then, as shown in Figure 12b, masking layers 109 and 111, which can be made of any material usable as a mask for etching of silicon, are deposited on both sides of the substrate 101. For example, layers 109 and 111 may comprise 400 to 2000 angstrom, such as 550 angstrom thick, LPCVD deposited silicon nitride layers. Other materials, such as silicon oxynitride or aluminum oxide may also be used.

**[0045]** Then, as shown in Figure 12c, the masking layer 111 is patterned using photolithography (i.e., deposition / spin coating of photoresist on the masking layer, a bake of the photoresist, a selective exposure of the photoresist, patterning of the photoresist and selective etching of the masking layer). Specifically, a crystal axis exposure pit 113 and cantilever area opening 115 are provided in the layer 111 and extend into the silicon portion 107 of the substrate 101. The pit 113 may be formed by a KOH pit etch and the opening 115 may be formed by reactive ion etching of layer 111 using the photoresist mask.

**[0046]** Then, as shown in Figure 12d, a tip mask is formed. Preferably, the tip mask 117 is formed by photolithographically patterning the masking layer 109 to leave the tip mask 117. For example, portions of layer 109 not covered by a patterned photoresist layer may be reactive ion etched to form the mask 117. Preferably, but not necessarily, the photoresist used in this step is removed prior to the tip etching step.

**[0047]** Then, as shown in Figure 12e, the tip mask 117 is used in the tip etching step. The tip 13 is formed by etching the silicon portion 105 using the tip mask 117. For example, the silicon 105 may be isotropically etched using KOH and be subjected to an oxidation / HF etch cycle to form the tip 13. During this step, the silicon portion 105 of

the substrate 101 is thinned such that its thickness is approximately equal to the desired cantilever 1 thickness.

[0048] Then, as shown in Figure 12f, the metal pad and the metal piezoresistive film 9 are formed. Preferably, the metal film, such as a 30 to 70 nm, for example 50 nm thick Au layer is formed on the silicon portion 105 adjacent to the tip 13 (i.e., the metal is preferably formed on the front or tip side of the substrate). The metal pad and film 9 may be patterned into a desired shape using photolithography. Alternatively, the metal pad and layer 9 may be patterned by the lift off method by depositing the metal on a photoresist pattern and then lifting off the photoresist pattern to leave the patterned metal on the silicon portion 105 of the substrate 101.

[0049] Then, as shown in Figure 12g, the cantilever 1 is patterned using photolithography. For example, the silicon portion 105 of the substrate may be patterned using RIE or wet etching.

[0050] Then, as shown in Figure 12h, the back side of the substrate 101 is etched to release the cantilever 1. This may be accomplished, for example, by a KOH etch of the back side silicon portion 107 of the substrate through the opening 115 in the masking layer 111, followed by an HF etch to remove the oxide 103 under the cantilever 1.

[0051] It should be noted that other materials and etching methods / media may also be used. Furthermore, the photoresist layers may be removed right after the etching step or they may be removed at a later time. For example, the photoresist used to form the opening 115 may be removed right after forming the opening 115 or after the step shown in Figure 12h.

**[0052]** Figures 13a-h illustrate a method of forming a contact mode piezoresistive SPM probe 21. In Figures 13a-h, the front side of the probe is shown on bottom rather than the top side of the probe. Of course “top” and “bottom” are relative terms depending on which way the probe is positioned and are used herein only to describe the elements in the figures.

**[0053]** First, as shown in Figure 13a, a starting substrate 101 is provided. The substrate may be, for example, any suitable semiconductor or insulating substrate, such as a silicon wafer. Thus, an SOI substrate is not necessarily used in this method. The wafer may have the same thickness as the SOI substrate in Figure 12a.

**[0054]** Then, as shown in Figure 13b, masking layers 109 and 111, which can be made of any material usable as a mask for etching of silicon, are deposited on both sides of the substrate 101. For example, layers 109 and 111 may comprise low stress 800 to 1500 angstrom, such as 1000 angstrom thick, LPCVD deposited silicon nitride layers. Other materials, such as silicon oxynitride or aluminum oxide may also be used.

**[0055]** Then, as shown in Figure 13c, the back side masking layer 111 is patterned using photolithography to form alignment holes 114 extending into the back side of the substrate 101. The holes 114 may be formed by reactive ion etching of layer 111 using a photoresist mask following by a KOH etch of the substrate 101 using the patterned layer 111 and optionally the photoresist (if it has not been removed yet) as a mask. The KOH etch may comprise an etch using 30% KOH solution at 60 degrees Celsius, for example.

**[0056]** Then, as shown in Figure 13d, a membrane mask is defined in layer 111. Specifically, a membrane opening 116, which extends into the substrate, is formed in layer 111 using photolithography. The opening 116 may be formed by reactive ion

etching of layer 111 using a photoresist mask following by a KOH etch of the substrate 101 using the patterned layer 111 and optionally the photoresist (if it has not been removed yet) as a mask. The KOH etching of the substrate deepens the holes 114 until they extend to the front side masking layer 109.

**[0057]** Then, as shown in Figure 13e, a tip mask is formed. Preferably, the tip mask 117 is formed by photolithographically patterning the masking layer 109 to leave the tip mask 117. For example, portions of layer 109 not covered by a patterned photoresist layer may be reactive ion etched to form the mask 117. Preferably, but not necessarily, the photoresist used in this step is removed prior to the tip etching step. E-beam lithography alignment marks may also be formed during this step.

**[0058]** Then, as shown in Figure 13f, the tip mask 117 is used in the tip etching step. The tip 13 is formed by etching the front side of the substrate using the tip mask 117. For example, the silicon substrate 101 may be isotropically etched using KOH. The remaining masking layer 111 is then preferably removed.

**[0059]** Then, as shown in Figure 13g, an optional low pressure silicon nitride layer 118 is formed over the front side of the substrate 101 and over the tip 13, such that the tip surface is coated with silicon nitride. Other suitable coating materials may also be used. Then the metal pad and the metal piezoresistive film 9 are preferably formed directly on layer 118 over the front side of the substrate 101. The metal film 9 may be the same as the film 9 shown in Figure 12f. The metal film 9 may be patterned using any suitable method, such as electron beam lithography, for example.

[0060] Then, as shown in Figure 13h, the back side of the substrate 101 is etched to release the cantilever 1. This may be accomplished, for example, by a KOH etch of the back side of the substrate through the opening 116 in the masking layer 111.

[0061] It should be noted that other materials and etching methods / media may also be used. Furthermore, the photoresist layers may be removed right after the etching step or they may be removed at a later time.

[0062] Thus, a general method of making the probes 11 and 21 comprises providing a substrate; forming masking layers on front and back sides of the substrate; patterning the masking layers; using a patterned masking layer on a front side of the substrate to form an SPM tip in the front side of the substrate; forming a patterned metal film piezoresistive sensor on the front of the substrate; and etching the substrate from the back side through an opening in a back side masking layer to form a cantilever supporting the SPM tip and the metal film.

#### *Piezoresistive Response Example*

[0063] Figure 6 illustrates a piezoresistance response of a metal film on the cantilever similar to that in Figure 5b according to another example of the present invention. The cantilever is 125 microns long, 40 microns wide and 4 microns thick with a conventional tip. The cantilever is suitable for a self-sensing probe 11 designed for tapping mode AFM applications (i.e., this is a larger MEMS device rather than a NEMS device shown in Figure 1a). A gold thin film covers the two legs of the cantilever and forms a current loop. A very strong piezoresistance response is observed, as shown in Fig. 6. Non-resonant background signal is subtracted from the raw data. The quality factor for this specific cantilever is about 220 in air. Under vacuum conditions, the piezoresistance

response is stronger, with quality factor rising above 360. The data for vacuum is shifted to the right for better visual inspection.

**[0064]** The measurement circuit setup for this experiment is shown in Figures 14 and 15. Figure 14 illustrates a scheme to measure the piezoresistive response of SPM probe when the probe is used in contact mode AFM. In an embodiment shown in Figure 14, an ac bias current is passed through the piezoresistor and ac voltage is measured to enhance the measurement sensitivity. The scheme in Figure 14 provides a simple bridge resistance measurement. Furthermore, DC measurement can be directly employed to detect the bending of the cantilever. Lock-in at fixed frequency (e.g. 20kHz) can be employed to enhance the measurement sensitivity.

**[0065]** Figure 15 illustrates a scheme to measure the piezoresistive response of SPM probe when the probe is used in tapping/non-contact/ac mode AFM. The bias current through piezoresistor is modulated at one frequency, while the cantilever is driven at another, different frequency. The mechanical response of the cantilever is detected at their difference frequency or their sum frequency.

**[0066]** Thus, as shown in Figure 15, an ac drive source is used to drive the cantilever 1 through a piezo drive source in the base 5. The drive source is synchronized with an ac bias source and the outputs of the ac drive and bias sources are provided into different inputs of the mixer. The output of the mixer is provided through a low pass filter (LPF) into a lock-in amplifier as a reference signal. The ac bias source is used to bias the metal film 9, whose output is also provided into the lock-in amplifier through another LPF and amplifier. In the scheme of Figure 15, the ac drive source can be used to drive the cantilever at resonant frequency, such as 240 kHz for example. Direct lock-in

measurement can be employed to detect the amplitude of the oscillation. To remove the electrical background due to crosstalk, example shown above employs a down-mix detection scheme, which will be described in more detail below. The sample bias current may be applied at resonant frequency that is 10-50 kHz higher, such as 20 kHz higher than the drive frequency (e.g. 260 kHz for a 240 kHz drive frequency). Lock in measurement is performed at 20 kHz or 500 kHz, for example (see provisional application serial number 60/562,652, incorporated by reference for additional details).

[0067] The probe 11 is then tested with a commercial AFM system (DI dimension 3100 system) equipped with a signal access module for external signal access and control. The measurement set up is illustrated schematically in Figure 7. The standard DI probe holder is modified to facilitate the testing of the metallic piezoresistive probes. First, the electrical connections from the chip holder to the AFM headstage are disconnected. Second, four wires 31, 33, 35, 37 are soldered to the chip holder to enable an electrical connection to the piezo actuator 5 under the probe 11 and connections to two electrical contacts pads 39, 41 on the self-sensing probe. The drive signal is applied to piezo actuator 5 through an external function generator 43 (Stanford Research System DS345). A dc bias voltage is supplied across the two legs 7 of the cantilever 1. A resistor 43 with a resistance value similar to that of the cantilever is used as a balance resistor (20.3 Ohms) for extraction of resonant ac signal. The voltage change across the probe 11 is further amplified through a low-noise voltage amplifier 45 (Stanford Research System SR560). This oscillating ac voltage is then fed into a lock-in amplifier 47 (Stanford Research System SR830). The measurement is locked into the drive signal provided by

the function generator. x-output of the lock-in amplifier is supplied to one input channel of the nanoscope controller through signal access module after the phase extender box.

[0068] Resonant curves from electrical measurement are first obtained. Figure 8 shows three resonant curves from the same cantilever. Trace 1 (bottom curve) is the result from AFM built-in laser deflection measurement. Trace 2 (middle curve) is a direct electrical lock-in measurement of the cantilever with dc bias current. Trace 3 (upper curve) is an improved measurement result with ac bias current (down mix scheme described above). Comparable signal strengths are observed in all three curves. In optical data, side bands due to non-flexural resonance are apparent. They are absent in the electrical measurement curves. Apparently electrical measurements are immune to the shear motion displayed in the optical measurement data. A comparison between trace 2 and trace 3 shows that the down mix scheme can effectively eliminate the cross-talk signal that is usually inevitable in such a measurement.

[0069] The noise spectrum measurement is then performed on the metallic thin film probe, as shown in Figure 9. Very low noise spectrum is observed. For frequency > 1000 Hz, the noise level is below  $1\text{nV}/\sqrt{\text{Hz}}$ , smaller than the Johnson noise generated by a 50 Ohm resistor at room temperature. Generally speaking, at the same frequency range, the noise level in p+ silicon is about  $30\text{nV}/\sqrt{\text{Hz}}$ . The noise performance of metallic piezoresistor is at least about 30 times better than semiconducting Si material.

[0070] For contact-mode AFM, the cantilever is not operated at resonance but follows the topography of the sample surface. Cantilever's DC or quasi-DC response is of most concern for contact-mode operations. In this case, AFM force indentation is employed to modulate the piezo probe z motion at a certain range after the cantilever is in contact with

sample surface. The cantilever is bent accordingly at the modulation frequency. The modulated piezoresistive signal is picked up by a wide-band dc amplifier and measured by an oscilloscope. Data for force indentation of the probes is shown in Figure 10 (right axis). The voltage applied to z-component of the piezotube is also shown for comparison (left axis). This voltage modulation corresponds to 300nm bending amplitude. 55 $\mu$ V signal amplitude is observed across the piezoresistive probe. This corresponds to 0.88 mV/nm after 2000 times voltage amplification. For standard optical AFM detection, the response is ~20mV/nm. Given the extremely low noise in the exemplary piezoresistor, a 30 times higher gain amplifier can be used and work on comparable noise floor with a signal response of 26.4mV/nm, matching the performance of the optical cantilevers.

[0071] A standard SPM calibration grating is employed to demonstrate the imaging capability of the exemplary metallic thin film probes. The grating is a 1-D array of rectangular SiO<sub>2</sub> steps on a silicon wafer with 3 micron pitch. The step height is 20 nm ± 1 nm. The topographic image shown in Figure 11b is acquired from monitoring the output of the lock-in amplifier when AFM is operated at “lift mode”. Optical tapping mode AFM image is present in Figure 11a for comparison. Even without signal conditioning, the metallic thin-film piezoresistor yields very high signal to noise ratio. The image quality is comparable to that of the optical measurement result. Thus, the SPM, such as the AFM is used to either determine and/or image characteristics of a surface being examined by the AFM probe 11, 21 based on the piezoresistive response of the metal film 9. In other words, the AFM probe may be used to image a surface of a material as shown in Figure 11b or to determine one or more characteristics of the surface of a material, as may be carried out with an AFM. Furthermore, while not shown in the

Figures, a data processing device, such as a computer or a dedicated processor, is used to process the signal from the AFM probe and the associated equipment, such as the lock-in amplifier, to create, store and/or display the image and/or data corresponding to the surface characteristics. A metal film has been described above as the preferred piezoresistive film. However, other piezoresistive material films may also be used instead. For example, piezoresistive semiconductor films, such as doped silicon films, for example p-type doped silicon films, may be formed on resonator surfaces and used to detect movement of the resonator.

[0072] The foregoing description of the invention has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed, and modifications and variations are possible in light of the above teachings or may be acquired from practice of the invention. The description was chosen in order to explain the principles of the invention and its practical application. It is intended that the scope of the invention be defined by the claims appended hereto, and their equivalents.

## CLAIMS

1. A micro-mechanical or nano-mechanical device comprising a movable element and a metal film used for piezoresistive sensing of a movement of the movable element.
2. The device of claim 1, wherein the movable element comprises a resonator.
3. The device of claim 2, wherein the resonator comprises a cantilever.
4. The device of claim 3, wherein the cantilever comprises a semiconductor material.
5. The device of claim 3, wherein the metal film is coated on a surface of the cantilever.
6. The device of claim 5, wherein the cantilever comprises a notch and leg portions surrounding the notch, and the metal film is located at least on the leg portions of the cantilever.
7. The device of claim 6, wherein the notch comprises through hole through the cantilever located adjacent to a base of the cantilever.
8. The device of claim 3, wherein the device comprises an AFM probe containing an AFM tip on a first surface of the cantilever.
9. The device of claim 8, wherein the thin metal film is located on the first surface of the cantilever.
10. The device of claim 8, wherein the thin metal film is located on a second surface of the cantilever which is opposite to the first surface of the cantilever.

11. The device of claim 8, wherein the AFM probe comprises a contact type probe.
12. The device of claim 8, wherein the AFM probe comprises a non-contact type probe.
13. The device of claim 8, further comprising a bias source adapted to bias the thin metal film and a detector adapted to detect a signal provided from the thin metal film.
14. The device of claim 13, wherein the bias source comprises an ac bias source and the detector comprises a phase-sensitive detector.
15. The device of claim 14, wherein the detector comprises a lock-in amplifier.
16. The device of claim 2, wherein the resonator is selected from a group consisting of a torsional resonator, a doubly clamped beam and a diaphragm resonator.
17. The device of claim 1, wherein the metal film comprises a self-sensing film which has a thickness between 10 nanometers and 10 microns and a size of the movable element is 100 microns or smaller in at least one dimension.
18. The device of claim 1 where the metal film is selected from the group consisting of Au, Pt, W, Al, Ni, Cu, Cr, Ag, Pd, Pt-Cr, Nickel-Copper, Nickel-Chromium, Pt-W, Isoelastic, Karma, Ni-Ag, and Armour D.
19. The device of claim 18, wherein the metal film comprises an Au, Pt, W or Al film having a thickness between 10 and 100 nanometers.
20. The device of claim 1, wherein the device comprises MEMS or NEMS selected from a group consisting of a SPM probe, a force sensor, a pressure sensor, a flow sensor, a chemical sensor, a biological sensor, and an inertial sensor.

21. A method of making an SPM probe, comprising providing a substrate; forming masking layers on front and back sides of the substrate; patterning the masking layers; using a patterned masking layer on a front side of the substrate to form an SPM tip in the front side of the substrate; forming a patterned metal film piezoresistive sensor on the front of the substrate; and etching the substrate from the back side through an opening in a back side masking layer to form a cantilever supporting the SPM tip and the metal film.
22. A method of operating a micro-mechanical or nano-mechanical device comprising a movable element and a piezoresistive film, the method comprising biasing the piezoresistive film and detecting the piezoresistive response of the film to determine an amount of movement of the movable element.
23. The method of claim 22, wherein the film comprises a metal film.
24. The method of claim 22, wherein the film comprises a semiconductor film.
25. The method of claim 22, wherein the device comprises an AFM probe.
26. The method of claim 25, further comprising at least one or determining or imaging characteristics of a surface being examined by the AFM probe based on the piezoresistive response of the metal film.
27. The method of claim 25, wherein the AFM probe is used in a contact mode and the step of detecting the piezoresistive response of the metal film comprises ac biasing the metal film and measuring the ac voltage.
28. The method of claim 25, wherein the AFM probe is used in a non-contact mode and the step of detecting the piezoresistive response of the metal film comprises

ac biasing the metal film at a first frequency, driving the AFM probe at a second frequency different from the first frequency, and detecting a mechanical response of the probe at a difference frequency or a sum frequency of the first and second frequencies.

## ABSTRACT

Thin metallic films are used as the piezoresistive self-sensing element in microelectromechanical and nanoelectromechanical systems. The specific application to AFM probes is demonstrated.

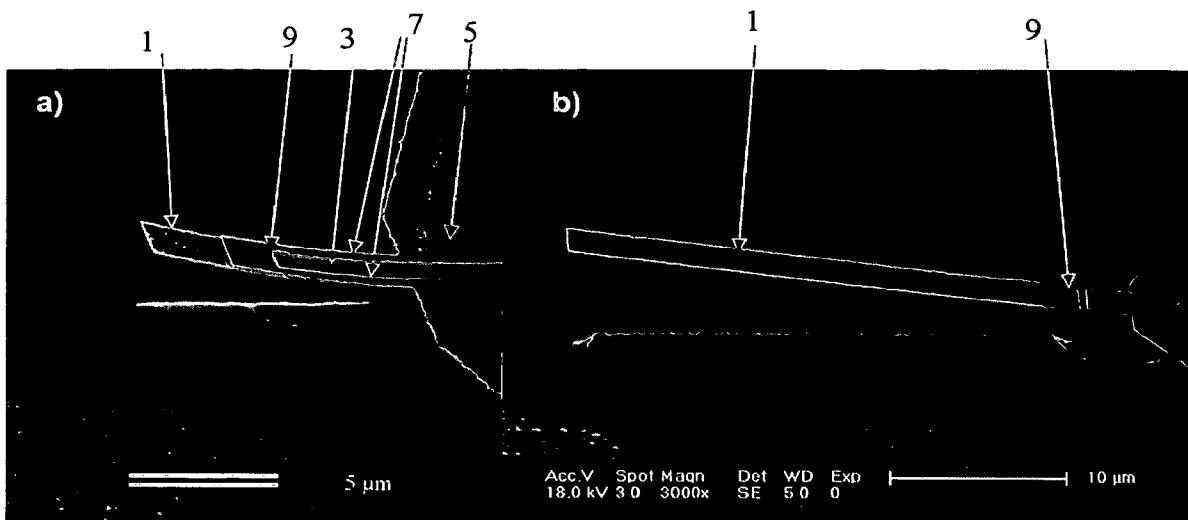


FIGURE 1a

FIGURE 1b

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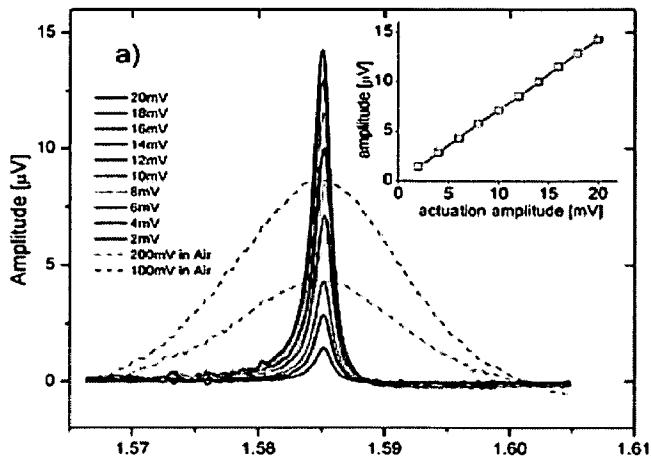


FIGURE 2

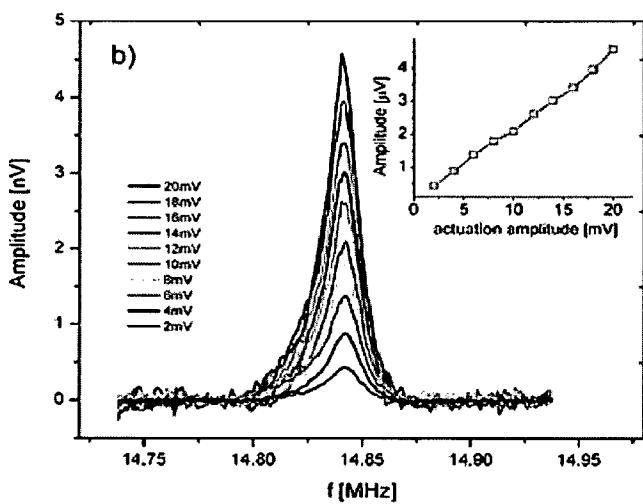


FIGURE 3

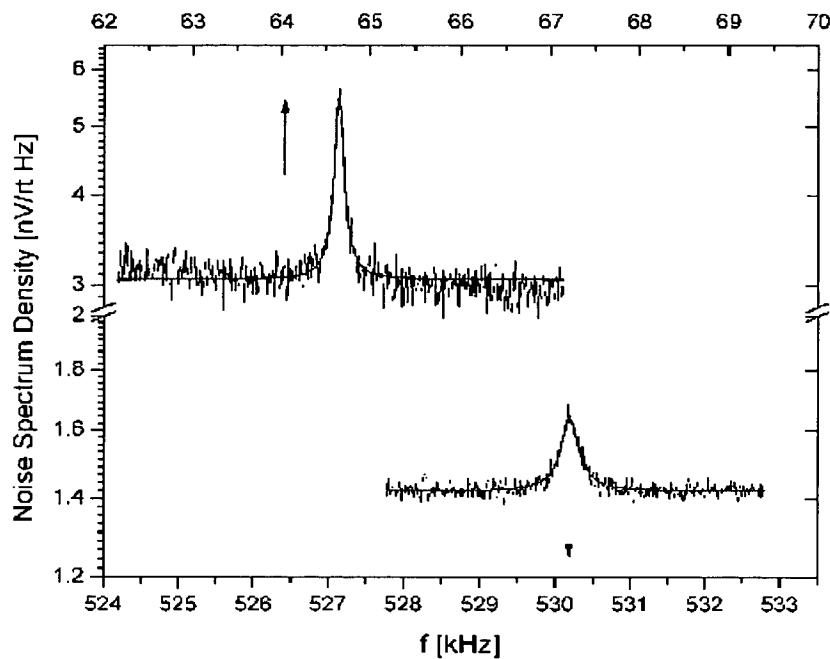


FIGURE 4

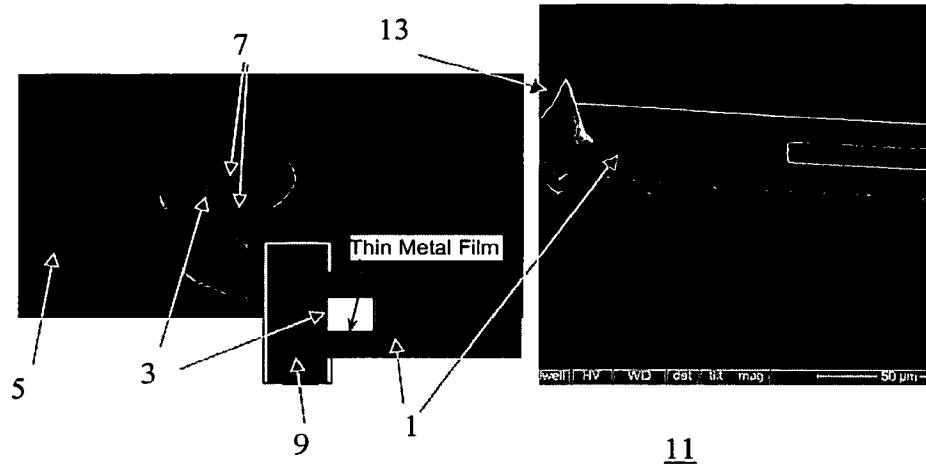
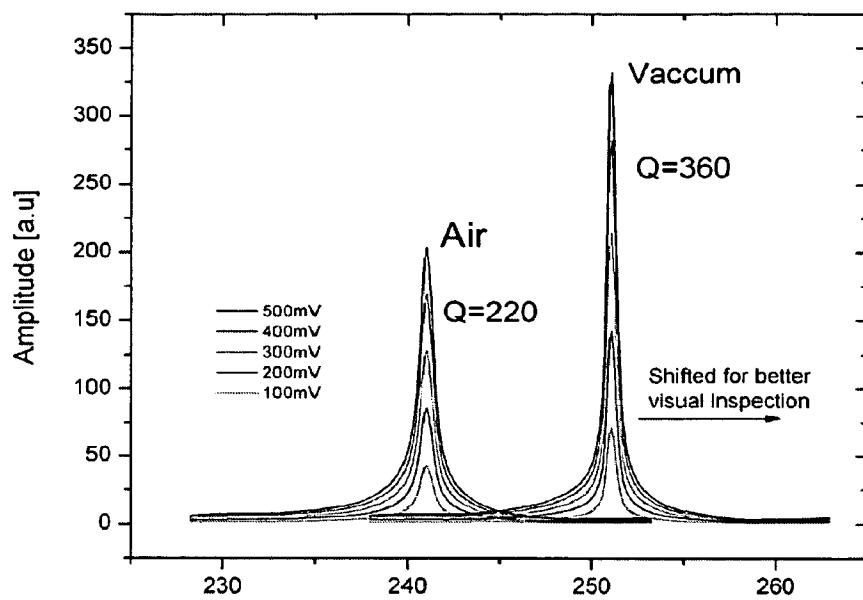


FIGURE 5a

FIGURE 5b

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**FIGURE 6**

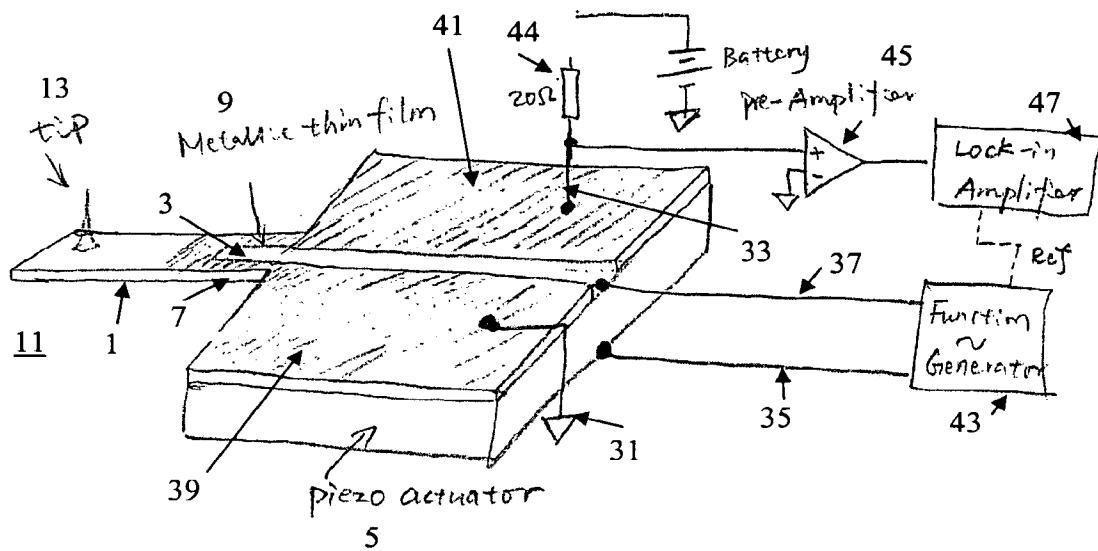
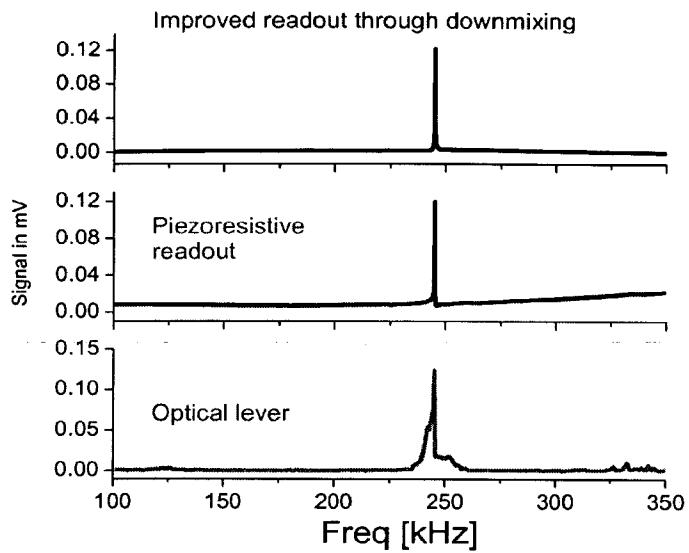
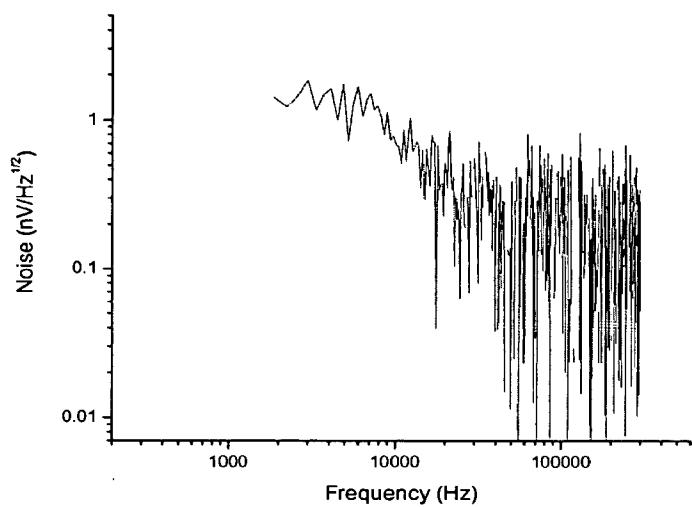


FIGURE 7

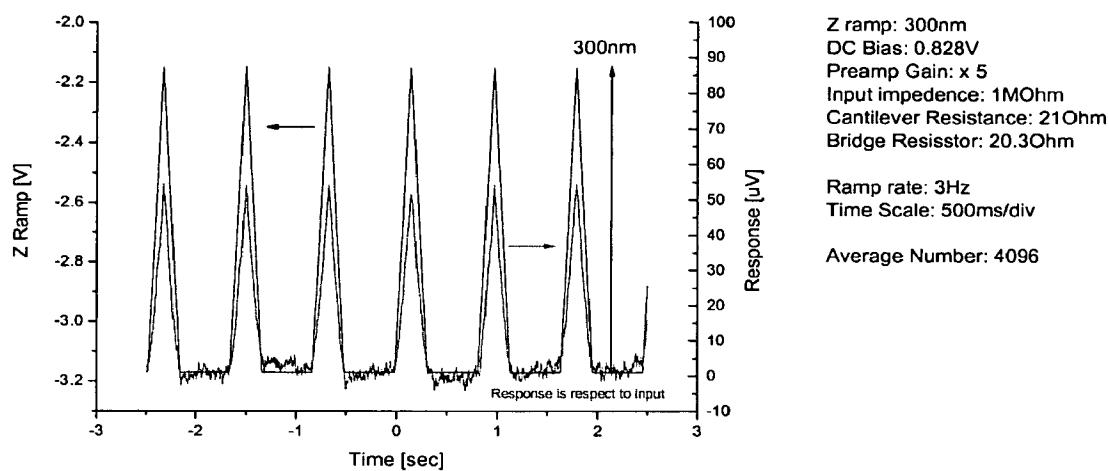


**FIGURE 8**

Title: METALLIC THIN FILM PIEZORESISTIVE  
TRANSDUCTION IN MICROMECHANICAL AND  
NANOMECHANICAL DEVICES AND ITS  
APPLICATION IN SELF-SENSING SPM PROBES  
Inventor(s): Hongxing TANG et al.  
DOCKET NO.: 049411-0286



**FIGURE 9**



**FIGURE 10**

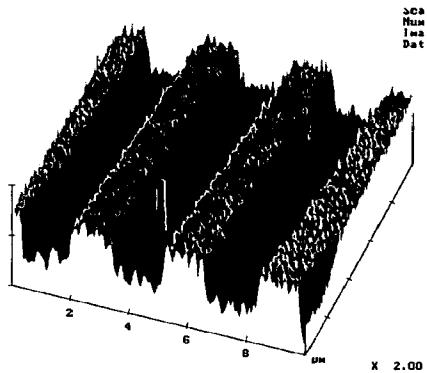


FIGURE 11a

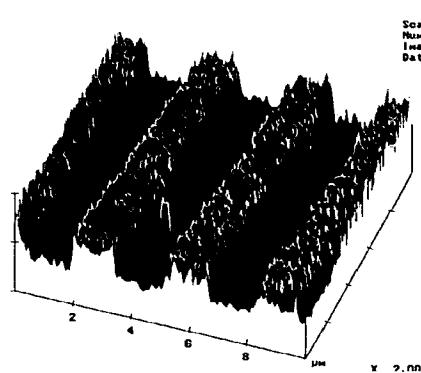


FIGURE 11b

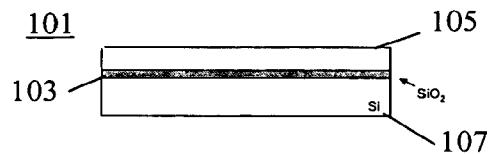


FIGURE 12a

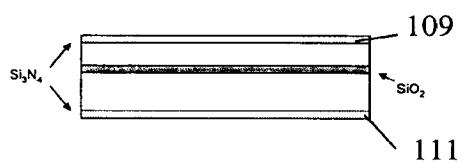


FIGURE 12b

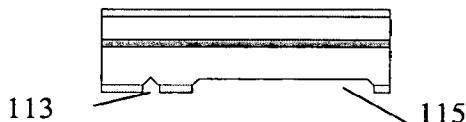


FIGURE 12c

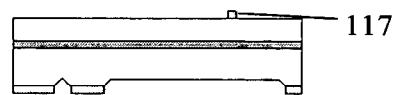


FIGURE 12d



FIGURE 12e

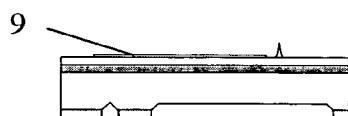


FIGURE 12f



FIGURE 12g

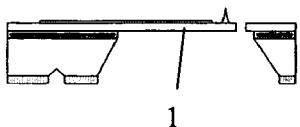


FIGURE 12h



FIGURE 13a



FIGURE 13b

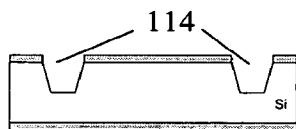


FIGURE 13c

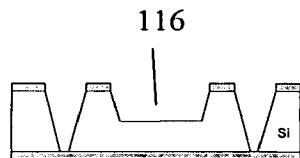


FIGURE 13d

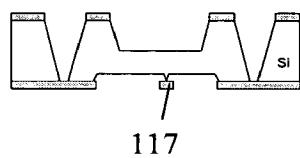


FIGURE 13e

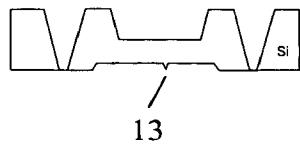


FIGURE 13f

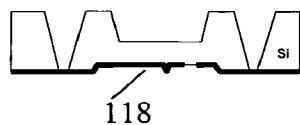


FIGURE 13g

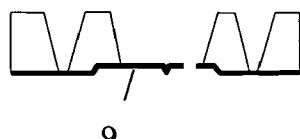
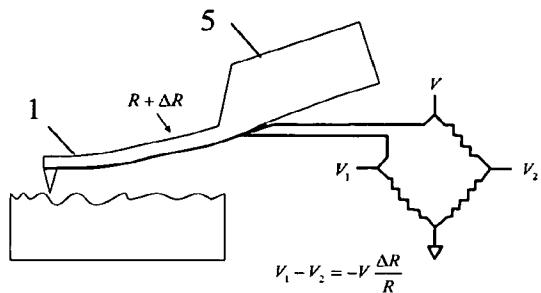


FIGURE 13h

**FIGURE 14**



Title: METALLIC THIN FILM PIEZORESISTIVE  
TRANSDUCTION IN MICROMECHANICAL AND  
NANOMECHANICAL DEVICES AND ITS  
APPLICATION IN SELF-SENSING SPM PROBES  
Inventor(s): Hongxing TANG et al.  
DOCKET NO.: 049411-0286

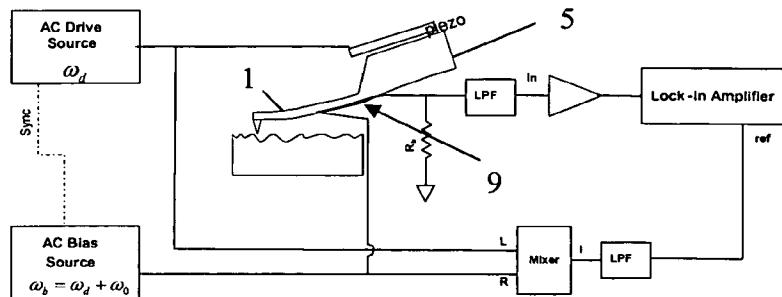


FIGURE 15

## Application Data Sheet

### Application Information

**Application Type::** Regular  
**Subject Matter::** Utility  
**Suggested classification::**  
**Suggested Group Art Unit::**  
**CD-ROM or CD-R?::** None  
**Computer Readable Form (CRF)?::** No  
**Title::** METALLIC THIN FILM PIEZORESISTIVE  
TRANSDUCTION IN MICROMECHANICAL  
AND NANOMECHANICAL DEVICES AND  
ITS APPLICATION IN SELF-SENSING SPM  
PROBES  
**Attorney Docket Number::** 049411-0286  
**Request for Early Publication?::** No  
**Request for Non-Publication?::** No  
**Suggested Drawing Figure::** 1a  
**Total Drawing Sheets::** 16  
**Small Entity?::** Yes  
**Petition included?::** No  
**Secrecy Order in Parent Appl.?::** No

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#### **Representative Information**

<b>Representative Customer Number::</b>	22428	
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**Domestic Priority Information**

<b>Application::</b>	<b>Continuity Type::</b>	<b>Parent Application::</b>	<b>Parent Filing Date::</b>
This Application	Non-Provisional of	60/562,652	04/15/2004
This Application	Continuation-in-part of	10/826,007	04/16/2004
10/826,007	Non-Provisional of	60/468,452	05/07/2003

**Foreign Priority Information**

<b>Country::</b>	<b>Application number::</b>	<b>Filing Date::</b>	<b>Priority Claimed::</b>

**Assignee Information****Assignee Name::** CALIFORNIA INSTITUTE OF TECHNOLOGY